



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/809,539	03/14/2001	Jay Westerdal	M00-270500	5467
22835	7590	08/25/2004	EXAMINER	
PARK, VAUGHAN & FLEMING LLP			DADA, BEEMNET W	
508 SECOND STREET				
SUITE 201			ART UNIT	
DAVIS, CA 95616			PAPER NUMBER	
			2135	

DATE MAILED: 08/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/809,539

Applicant(s)

WESTERDAL, JAY

Examiner

Beemnet W Dada

Art Unit

2135

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 March 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-27 have been examined.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Reiche (US Patent No. 6,092,196).

4. As per claims 1 and 10, Reiche teaches a method that facilitates sharing authentication information between a plurality of servers within a distributed computing system, wherein the plurality of servers includes a first server and an authentication server (figure 1, customer servers 120, 150 and authentication server 110), the method comprising:

receiving a communication from a client at the first server (customer server)[column 4, lines 54-62 and column 8, lines 47-54];

determining whether the client is known to the first server (i.e., checking for a special URL or specific cookie) [column 4, lines 58-62 and column 8, lines 55-64]; and

if the client is unknown to the first server, generating a first identifier for the client [column 4, lines 63-67 and column 8, lines 52-67], communicating the first identifier to the client, and directing the client to communicate the first identifier to the authentication server, so that the authentication server can attempt to associate the first identifier with a known client [column 5, lines 1-19, column 9, lines 1-25 and column 11, lines 25-43].

5. As per claims 7 and 16, Reiche teaches a method that facilitates sharing authentication information between a plurality of servers within a distributed computing system, wherein the plurality of servers includes a first server and an authentication server (figure 1, customer servers 120, 150 and authentication server 110), the method comprising:

receiving a communication from a client at the authentication server, wherein the communication includes a first identifier generated by the first server for the client [column 4, lines 54-67, column 5, lines 1-15, column 8, lines 64-67 and column 9, lines 1-17];

determining whether the client is known to the authentication server [column 5, lines 15-17 and column 9, lines 17-23];

if the client is known to the authentication server, associating the first identifier with a pre-existing identifier for the client [column 6, lines 37-65]; and

if the client is unknown to the authentication server, causing the client to store a cookie for the authentication server, wherein the cookie contains an identifier for the client, so that the authentication server can subsequently identify the client by examining the cookie [column 6, lines 37-65].

6. As per claim 19, Reiche teaches an apparatus that facilitates sharing authentication information between a plurality of servers within a distributed computing system (figure 1, customer servers 120, 150 and authentication server 110), the apparatus comprising:

a first server within the plurality of servers [figure 1 server 120];

a receiving mechanism within the first server that is configured to receive a communication from a client [column 4, lines 54-62 and column 8, lines 47-54]; and

an identification mechanism within the first server that is configured to determine whether the client is known to the first server (i.e., checking for a special URL or specific cookie) [column 4, lines 58-62 and column 8, lines 55-64]; and

wherein if the client is unknown to the first server, the identification mechanism is configured to, generate a first identifier for the client [column 4, lines 63-67 and column 8, lines 52-67], communicate the first identifier to the client, and to direct the client to communicate the first identifier to the authentication server, so that the authentication server can attempt to associate the first identifier with a known client [column 5, lines 1-19, column 9, lines 1-25 and column 11, lines 25-43].

7. As per claim 25, Reiche teaches an apparatus that facilitates sharing authentication information between a plurality of servers within a distributed computing system, the apparatus comprising:

an authentication server within the plurality of servers [figure 1, authentication server];

a receiving mechanism within the authentication server that is configured to receive a communication from a client, wherein the communication includes a first identifier generated by a first server within the plurality of servers for the client [column 4, lines 54-67, column 5, lines 1-15, column 8, lines 64-67 and column 9, lines 1-17];

an identification mechanism within the authentication server that is configured to determine whether the client is known to the authentication server [column 5, lines 15-17 and column 9, lines 17-23]; and

an association mechanism within the authentication server [column 6, lines 37-65];

wherein if the client is known to the authentication server, the association mechanism is configured to associate the first identifier with a pre-existing identifier for the client [column 6, lines 37-65];

wherein if the client is unknown to the authentication server, the association mechanism is configured to cause the client to store a cookie for the authentication server, wherein the cookie contains an identifier for the client, so that the authentication server can subsequently identify the client by examining the cookie [column 6, lines 37-65].

8. As per claims 2, 8, 11 and 17, Reiche teaches the method as applied above.

Furthermore, Reiche teaches the method, wherein if the client is known to the authentication server, the authentication server associates the first identifier with a pre-existing identifier for the client [column 6, lines 37-65]; wherein if the client is unknown to the authentication server, the authentication server causes the client to store a cookie for the authentication server, wherein the cookie contains an identifier for the client, so that the authentication server can subsequently identify the client by examining the cookie [column 6, lines 37-65].

9. As per claims 3, 12 and 26, Reiche teaches the method as applied above. Furthermore, Reiche teaches the method, wherein the authentication server determines whether or not the client is known to the authentication server by attempting to examine a cookie presented by the client to the authentication server [column 6, lines 37-65].

10. As per claims 4 and 13, Reiche teaches the method as applied above. Furthermore, Reiche teaches the method, wherein if the client is unknown to the first server, the method additionally comprises causing the client to store a cookie for the first server, so that the client can subsequently present the cookie to the first server in order to identify the client to the first server [column 10, lines 17-34].

11. As per claims 5, 9, 14, 18, 23 and 27, Reiche teaches the method as applied above. Furthermore, Reiche teaches the method, further comprising: receiving a username and a password from the client; attempting to authenticate the client based on the username and the password, and if the client authenticates, associating the username with the client [column 9, lines 27-33].

12. As per claims 6, 15 and 24, Reiche teaches the method as applied above. Furthermore, Reiche teaches the method, wherein determining whether the client is known to the first server involves: looking for a cookie presented by the client to the first server, and if such a cookie is presented by the client, determining if the cookie contains an identifier that is known to the first server [column 10, lines 17-34].

13. As per claims 20 and 21, Reiche teaches the method as applied above. Furthermore, Reiche teaches the apparatus, further comprising an authentication server within the plurality of servers [figure 1]; an association mechanism within the authentication server [column 6, lines 37-65]; wherein if the client is known to the authentication server, the association mechanism is configured to associate the first identifier with a pre-existing identifier for the client [column 6,

Art Unit: 2135

lines 37-65]; wherein if the client is unknown to the authentication server, the association mechanism is configured to cause the client to store a cookie for the authentication server, wherein the cookie contains an identifier for the client, so that the authentication server can subsequently identify the client by examining the cookie [column 6, lines 37-65].

14. As per claim 22, Reiche teaches the apparatus as applied above. Furthermore, Reiche teaches the apparatus, wherein if the client is unknown to the first server, the identification mechanism is additionally configured to cause the client to store a cookie for the first server, so that the client can subsequently present the cookie to the first server in order to identify the client to the first server [column 10, lines 17-34].

Conclusion

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO Form 892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beemnet W Dada whose telephone number is (703) 305-8895. The examiner can normally be reached on Monday - Friday (8:30 am - 6:00 pm).

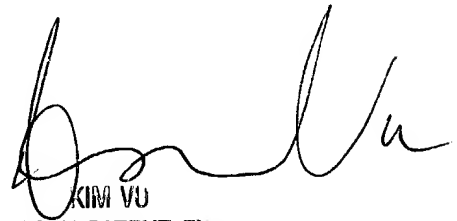
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y Vu can be reached on (703) 305-4393. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2135

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Beemnet Dada

August 20, 2004



KIM VU
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2